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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    | <b>Complete if Known</b> |                        |                 |
|   |   |    | Application Number       | 09/322,289             |                 |
|   |   |    | Filing Date              | May 28, 1999           |                 |
|   |   |    | First Named Inventor     | Schenk, Dale B.        |                 |
|   |   |    | Art Unit                 | 1649                   |                 |
|   |   |    | Examiner Name            | Kolker, Daniel E.      |                 |
| Sheet   | 1 | of | 5                        | Attorney Docket Number | 15270J-004740US |

| U.S. PATENT DOCUMENTS    |                       |   |                                |  |   |
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| Examiner Initials*       | Cite No. <sup>1</sup> | Document Number<br>Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |  |   |                          |
|                          | 921                   | EP                        | 0 391 714           | A2                                | 10-10-1990                     |  |   | <input type="checkbox"/> |
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|   |   |    |   | Art Unit                 | 1649              |
|   |   |    |   | Examiner Name            | Kolker, Daniel E. |
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| NON PATENT LITERATURE DOCUMENTS |                          |   |                          |  |
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| Examiner<br>Initials *          | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup>           |  |
|                                 | 927                      | AISEN, P., "Inflammation and Alzheimer's Disease: Mechanisms and Therapeutic Strategies," <u>Gerontology</u> , 43:143-149 (1997).   | <input type="checkbox"/> |  |
|                                 | 912                      | AKIYAMA et al., "The amino-terminally truncated forms of amyloid $\beta$ -protein in brain macrophages in the ischemic lesions of Alzheimer's disease patients," <u>Neuroscience Letters</u> , 219:115-118 (1996).  | <input type="checkbox"/> |  |
|                                 | 911                      | BEN-YEDIDIA et al., "Design of peptide and polypeptide vaccines," <u>Current Opinion in Biotechnology</u> , 8:442-448 (1997).   | <input type="checkbox"/> |  |
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|                                 | 930                      | CHANG et al., "Adjuvant activity of incomplete Freund's adjuvant," <u>Advanced Drug Delivery Reviews</u> , 32:173-186 (1998).   | <input type="checkbox"/> |  |
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|                                 | 910                      | DREW et al., "Vaccination by cholera toxin conjugated to a herpes simplex virus type 2 glycoprotein D peptide," <u>Journal of General Virology</u> , 73:2357-2366 (1992).   | <input type="checkbox"/> |  |
|                                 | 920                      | Ecuador Patent Application No. SP 98-2764, English translation of Expert Report submitted April 19, 2007 in support of the Appeal filed on July 29, 2005.   | <input type="checkbox"/> |  |

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|                                 | 942                   | European Examination Report of 03/09/2007 for European Application 01995364.5-1222.   | <input type="checkbox"/> |
|                                 | 941                   | European Examination Report of 09/26/2007 for European Application 04720353.4-1222.   | <input type="checkbox"/> |
|                                 | 940                   | European Examination Report of 10/08/2007 for European Application 01995364.5-1222.   | <input type="checkbox"/> |
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|                                 | 929                   | JANSEN et al., "Use of Highly Encapsulated <i>Streptococcus pneumoniae</i> Strains in a Flow-Cytometric Assay for Assessment of the Phagocytic Capacity of Serotype-Specific Antibodies," <i>Clinical &amp; Diagnostic Lab. Immunol.</i> , 5(5):703-710 (1998). | <input type="checkbox"/> |
|                                 | 904                   | MAMIKONYAN et al., "Anti-A $\beta$ <sub>1-11</sub> Antibody Binds to Different $\beta$ -Amyloid Species, Inhibits Fibril Formation, and Disaggregates Preformed Fibrils but Not the Most Toxic Oligomers," <i>J Biol Chem</i> , 282(31) 22376-22386 (2007).     | <input type="checkbox"/> |
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|                                 | 933                   | PCT International Preliminary Examination Report of 02/09/2004 for application PCT/US01/46587.  | <input type="checkbox"/> |
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|                                 | 937                   | PCT International Preliminary Report on Patentability (Chapter I) of 09/16/2005 with Written Opinion of 05/09/2005 for application PCT/US04/007503.   | <input type="checkbox"/> |

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|                                 | 950                   | SOLOMON et al., "Fast induction of anti- $\beta$ -amyloid peptide immune response," <u>Research and Practice in Alzheimer's Disease</u> , 6:260-264 (2002).   | <input type="checkbox"/> |
|                                 | 914                   | SPELLERBERG et al., "DNA Vaccines Against Lymphoma," <u>Journal of Immunology</u> , 159:1885-1892 (1997).   | <input type="checkbox"/> |
|                                 | 939                   | Supplementary Partial European Search Report of 04/10/2007 for European Application 04720353.4-1222.  | <input type="checkbox"/> |
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|                                 | 916                   | WINBLAD et al., "Hints of a therapeutic Vaccine for Alzheimer's?" <u>Neuron</u> , 38:517-519 (2003).  | <input type="checkbox"/> |

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|                                 | 909                      | XIANG et al., "Manipulation of the immune response to a plasmid-encoded viral antigen by coinoculation with plasmids expressing cytokines," <u>Immunity</u> , 2(2):129-135 Abstract (1995).   | <input type="checkbox"/> |
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